



Periple Advisors



**FUND ACCOUNTING
& ADMINISTRATION**

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PERIPLE ADVISORS

The Periple Advisors & Consultants (“Periple Advisors”) is an advisory firm, focusing on providing professional services to Funds, as well as to enterprises who are into Fund management services in India, across the entire spectrum of transaction support, corporate and regulatory compliances and related services.

Fund investment space has grown significantly over the last few years. Along with capital, companies need support in various areas such as finance, legal and operational issues. We at Periple Advisors with a team of professionals works with these companies for all their needs, thereby providing all services under one roof.

Periple Advisors is a unique advisory firm, focusing on the entire venture ecosystem in India. Its competencies and track record enable it to become a preferred partner for the entire spectrum of fund/ corporate set-up, ongoing compliances, supporting transactions, accounting & taxation services and other professional services.

COMPARISON

	Periple Advisors	Other
Fund Accounting	✓	✓
NAV Calculation	✓	✓
Contributor Onboarding	✓	X
Contributor Servicing	✓	X
Compliance	✓	X
SEBI/RBI Reporting	✓	X
Secretarial	✓	X
Valuation	✓	X

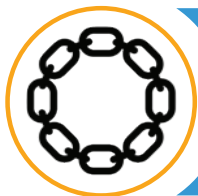
WHY WE



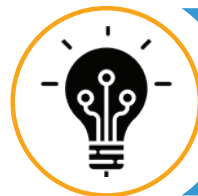
**Technology
Driven**



**Timely
Reporting**



**End to End
Servicing**



**Solution
Oriented
Approach**



**Team of
Experts**



**Compliance
Management**



**Strong
Database
Management**



**Customer
Satisfaction**

Ms. Vaishali Urkude

Contact : +91 98334 20217 | Email : vaishali@peripleadvisors.com

Post graduate in Law (Commercial Laws), top ranker & gold medalist (LL.B.) - Nagpur University with rich experience of about 25 years in legal, operations and compliance.

Founder of Credentia Trusteeship Services Private Limited and has vast experience in Trustee/AIF industry & Banking for more than 12 years each

Has worked with various trustee companies and banks in the past and kown for building trusteeship businesses from scratch.

Strength : Legal, Compliance, Operations.

Mr. Venkatesh Prabhu

Contact : +91 98677 99208 | Email : venkatesh@peripleadvisors.com

Qualified Chartered Accountant from ICAI and Cost Accountant from ICWAI having more than 13 years of experience in Trustee business taking care of Alternative investment funds and has worked with more than 150 AIFs for their operations, Compliance, Accounting, etc.

Winner of "40under40" Alternative Investment Professionals in India - 2019 awarded by The Indian Association of Alternative Investment Funds (IAAIF) & Association of International Wealth Management of India (AIWMI).

Co - Founder of Credentia Trusteeship Services Private Limited taking care of entire business development along with Operations, and Compliance of AIFs.

Strength : Accounting, Compliance, Operations.

Mr. Shardul Akerkar

Contact : +91 82863 16736 | Email : shardul@peripleadvisors.com

Masters of Commerce (M.Com) and persuing his Law Degree from Mumbai University

Shardul was in Fund accounting and Administration for around 3 years and has looked after Compliance, Accounting, Operations, Taxation, Contributor onboarding/Services for more than 20 Funds and has worked for Manager/Sponsor entities as well.

Strength : Accounting, Operations, Taxation, Fund Administration, Compliance.

SERVICES OFFERED



FOR FUNDS

CONTRIBUTOR ONBOARDING

FUND ACCOUNTING

FUND ADMINISTRATION

COMPLIANCE MANAGEMENT

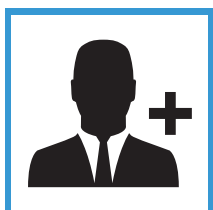
REPORTING

PORTFOLIO MANAGEMENT

CLIENT SERVICING

TAX COMPLIANCE

Services offered For Funds



Contributor Onboarding

Contributor Onboarding is one of the most important processes in fundraising. We not only make the onboarding easier but also ensure that all the documents required from the contributors are obtained and onboarding is done in a smoother way. Compliance related to KYC is crucial and we assist in completing the same and also uploading those documents with various authorities.

- ▶ Maintaining Contributors' Database
- ▶ KYC Process
- ▶ KRA & CKYC Registration
- ▶ Welcome letter to contributors
- ▶ Allotment of Unit Statements to Contributor
- ▶ Tracking of clients' contribution



Fund Accounting

Accounting is required to check on the income and expenses and show the same to contributors based on which NAV can be assessed. We at Periple Advisors, provide you monthly accounts & NAV which will help you assess the current state of affairs of the Fund.

- ▶ Maintaining Books of Accounts
- ▶ Providing details of contributors
- ▶ Maintaining accounts for individual contributors
- ▶ Calculation of NAV
- ▶ Accounting of temporary & Portfolio Investments
- ▶ Providing individual details of each portfolio entity



Fund Administration

Administration of fund includes procuring/ Distribution of funds from/to contributors, maintaining their database along with investment details. We ensure MIS is maintained for receipts/payments of funds along with Investment/ Divestment

- ▶ Issuing Drawdown notices & Distribution Letters to Contributors
- ▶ Reconciliation of receipt of contributions
- ▶ Deployment of Surplus Fund
- ▶ Making investments in portfolio companies
- ▶ Maintaining Database of Contributors and Portfolio Companies
- ▶ Assisting in the audit of books of accounts of the Funds
- ▶ Assisting in valuation of Portfolio Companies



Compliance Management

Statutory and regulatory compliances laid down by authorities like SEBI, RBI, Income Tax Department, etc. are very crucial and need to be adhered to in an accurate and timely manner. Our Team of seasoned experts who know compliance very well and ensure the same is done in a timely manner.

- ▶ Quarterly reporting to SEBI
- ▶ Quarterly Compliance reporting to Trustee
- ▶ Annual Compliance Test Report to Trustee
- ▶ Compliance in terms of Foreign receipt/ payments with RBI
- ▶ Compliance for foreign investment and remittance
- ▶ Statutory Compliance like TDS, GST, LEI, etc.
- ▶ SEBI Application for Overseas Investments for Cat I AIF

Services offered For Funds



Reporting

Reporting includes reporting to Contributors apart from statutory reporting. Contributors require reports showing the correct position of their investment and utilisation. We ensure NAV is calculated and reported to them in a timely manner and also take care of the statutory requirement

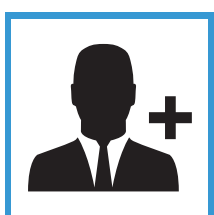
- ▶ NAV Reporting to all Contributors on Quarterly Basis
- ▶ Issuing Statement of Accounts, News Letters, updates on the Fund Management on Quarterly basis
- ▶ Statutory & Regulatory Reporting
- ▶ Reporting details of investments and divestments to the Manager
- ▶ Adhoc reporting



Portfolio Management

Fund Management is all about deployment into Portfolio Companies. Fund manager does the best part and that is why we want you to leave the other part with us. We ensure and maintain all the data and process the inward and outward flow of funds to and from portfolio companies and provide you reports for your analysis

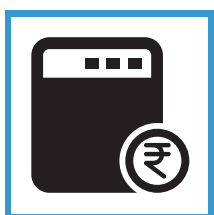
- ▶ Maintaining Database of Portfolio Companies
- ▶ Processing investment in Portfolio Companies
- ▶ Tracking of Interest/Principal payment for Debt Funds
- ▶ Assisting in the Valuation of Portfolio Companies
- ▶ Statutory/ Tax / Secretarial compliance of Portfolio companies



Client Servicing

What's the point if you don't service your contributors/Client well. Leave it to us. We ensure and provide reports, MIS, collect and distribute funds from them in a proper way so that you can concentrate on your core activities of Fundraising and deployment

- ▶ Single point of contact
- ▶ Management of Client queries
- ▶ Sending Reports to Clients
- ▶ Distribution of Funds
- ▶ Providing various working/ reports to contributors on Adhoc basis



Tax Compliance

Timely and correct tax payment and compliance is required by the fund to ensure no penalty or non-compliance is burdened on the fund. We take care of all tax compliance right from GST, TDS, FATCA, Income tax payment, etc.

- ▶ Statutory payments including GST, TDS, Professional Tax, as applicable
- ▶ Filing of Returns on timely basis for TDS, GST, FATCA
- ▶ Deducting and payment of TDS on distribution to Contributors as per Sec 64LBB
- ▶ Providing Form 64C to Contributors and Filing Form 64D with Tax Department
- ▶ Preparation and Issuance of TDS Certificates

FOR FUND MANAGERS



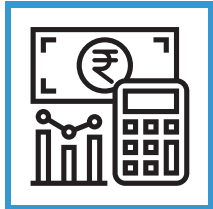
ACCOUNTING

STATUTORY & TAX COMPLIANCE

FUND FLOW MANAGEMENT

AUDITING

For Fund Managers



Accounting

- ▶ Setting up various process manuals
- ▶ Maintaining Books of Accounts
- ▶ Preparing various statements
- ▶ Calculation of Management, Setup and Operating fees
- ▶ Calculation of Carry Interest



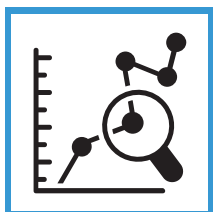
Statutory & Tax Compliance

- ▶ Statutory payments & filings including GST, TDS, Profession Tax, as applicable
- ▶ Preparing and issuing TDS Certificates
- ▶ Advance tax workings



Fund Flow Management

- ▶ Tracking various fee receipts
- ▶ Deployment of funds in Mutual Fund/ FD, etc.
- ▶ Vendor payments
- ▶ Preparation of Fund flow Statement



Audit

- ▶ Finalisation of Books of Accounts
- ▶ Preparation of Financials
- ▶ Appointment of Auditors
- ▶ Assisting in audit of Books of Accounts
- ▶ Resolving audit queries

SECRETARIAL DUE DILIGENCE



We provide comprehensive package to entrepreneurs and startups and provide secretarial services through all phases of their growth process right from incorporation to investment documentation, board meeting compliances etc. We believe that entrepreneurs and startups should focus on their core objective of development and scaling of product, operations and fund raising. We at Periple Advisors assist them in achieving their objective while we ensure their compliance activities.

Scope of work

- ▶ Company/LLP Incorporation
- ▶ Compliance wrt to various ROC Filings
- ▶ Board Meetings and related compliance
- ▶ Maintenance of Statutory Registers
- ▶ Statutory Policies & Procedures
- ▶ Employee Stock Option Plan (“ESOP”)
- ▶ Providing Due Diligence Report
- ▶ Any other compliance wrt to Companies Act, 2013
- ▶ Review and preparation of Conditions Precedent and Conditions Subsequent (CP & CS) documentation prescribed in investment documents

ADDRESS & CONTACT DETAILS



Office

The Periple Advisors & Consultants

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